

KONKAN RAILWAY CORPORATION LTD
BELAPUR BHAVAN, SECTOR-11, CBD, BELAPUR

NAVI MUMBAI - 400 614.

CIN :U35201MH1990GOI223738

**Procedure for claiming unpaid / unclaimed amounts of
Interest/Redemption of Bonds of various series from IEPF Authority**

In terms of Section 125 of the Companies Act, 2013 and rule 7 of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 & Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Amendment Rules, 2017 following procedure is prescribed:-

- (1) Make an online application in e-Form IEPF 5 available on www.iepf.gov.in along with requisite fee under claimant (Bondholder) own signature.
- (2) The claimant (Bondholder) shall after making an online application in e-Form IEPF-5 send the same duly signed by him along with requisite documents as enumerated in e-Form IEPF-5 to the Company Secretary, Konkan Railway Corporation Ltd., Belapur Bhavan, Sector-11, CBD, Belapur, Navi Mumbai – 400 614 for verification of his/her claim.
- (3) Thereafter, the Konkan Railway Corporation Ltd. shall within fifteen days of receipt of claim e-form will send a verification report to the Authority in the format specified by the Authority along with all documents submitted by the Claimant (Bondholder).
- (4) After verification of the entitlement of the claimant (Bondholder) by the Konkan Railway Corporation Ltd. then (Drawing and Disbursement Officer) of the Authority shall present a bill to the Pay and Accounts Office of the Authority for e-payment as per the prescribed guidelines.

- (5) An application received for refund of any claim under this rule duly verified by the Konkan Railway Corporation Ltd. shall be disposed of by the Authority within sixty days from the date of receipt of the verification report from the company, complete in all respects and any delay beyond sixty days shall be recorded in writing specifying the reasons for the delay and the same shall be communicated to the claimant (Bondholder) in writing by electronic means.
 - (6) In cases, where the application is incomplete, a communication shall be sent to the claimant (Bondholder) by the Authority detailing deficiencies of the said application.
 - (7) In case, claimant (Bondholder) is a legal heir or successor or administrator or nominee of the registered of bonds, he/she (the claimant, Bondholder) has to ensure that the transmission process is completed by the Konkan Railway Corporation Ltd. before filing any claim with the Authority.
 - (8) The claimant (Bondholder) shall file only one consolidated claim in respect of Konkan Railway Corporation Ltd. in one financial year.
1. Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 dated 05.09.2016 -
<http://www.iepf.gov.in/IEPFA/notification.html>
 2. Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Amendment Rules, 2017 -
<http://www.iepf.gov.in/IEPFA/notification.html>
 3. [Issue of Refund process Guidelines & Verification Report format for claims filed by investors with the concerned company](#) dated 27.10.2016 -
<http://www.iepf.gov.in/IEPFA/notification.html>
 4. For Refund Webpage of IEPF Authority -
<http://www.iepf.gov.in/IEPFA/refund.html>