



कोंकण रेलवे कॉर्पोरेशन लिमिटेड
KONKAN RAILWAY CORPORATION LTD.
(भारत सरकार का उपक्रम / A Government of India Undertaking)

कॉर्पोरेट पहचान संख्या/Corporate Identity Number: U35201MH1990GOI223738



No. KR/CO/S/BONDS/NSE

05/07/2023

To,
The Manager (Compliance Section)
National Stock Exchange of India Ltd.,
Exchange Plaza
Bandra Kurla Complex,
Mumbai – 400 051.

Sub: Quarterly Compliance Report on Corporate Governance under Regulation 27 (2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended on 30.06.2023

Ref: ISIN - INE139F07048, INE139F07055, INE139F07063, INE139F07089, INE139F07097, INE139F07105 and INE139F07113

Dear Sir / Madam,

Please find enclosed herewith the Quarterly Compliance Report on Corporate Governance under Regulation 27 (2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended on 30.06.2023 as per format prescribed under SEBI Master Circular No. SEBI/HO/DDHS/PoD1/P/ CIR/2023/108 dated 30th June,2023.

It is kindly requested to take it on your record.

Thanking you,

Yours faithfully,
For Konkan Railway Corporation Limited

RAJENDRA
CHANDRAKANT PARAB

Digitally signed by RAJENDRA
CHANDRAKANT PARAB
Date: 2023.07.05 16:40:40 +05'30'

(Rajendra C Parab)
Company Secretary & Compliance Officer

Encl: As stated



पंजीकृत कार्यालय: बेलापुर भवन, सेक्टर 11, सी.बी.डी. बेलापुर, नवी मुंबई - 400614

Regd. Office: Belapur Bhavan, Sector 11, C.B.D. Belapur, Navi Mumbai 400614. Tel: 91-22-27572015; Fax: 022-27572420

ई-मेल (E-mail): general@krcl.co.in • वेबसाइट (Website): www.konkanrailway.com

LEI No.335800CK2UZ7PG7WLL79

Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

(As per format of Annex-VII-A prescribed vide SEBI Master Circular No. SEBI/HO/DDHS/PoD1/P/ CIR/2023/108 dated 30th June,2023)

1. Name of Listed Entity: Konkan Railway Corporation Limited
2. Quarter ending: 30th June, 2023

| I. Composition of Board of Directors | | | | | | | | | | | | |
|--------------------------------------|------------------------|-----------------------|-------------------------|-----------------------------|------------------------|-------------------|--------|---------------|---|--|--|---|
| Title (Mr / Ms) | Name of the Director | PAN & DIN | Category | Initial Date of Appointment | Date of Re-appointment | Date of Cessation | Tenure | Date of Birth | No. of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)] | No. of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)] | No. of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairpersons in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) |
| Mr. | Sanjay Gupta | AACPG8706D & 06710604 | Chairperson /Executive | 30.09.2013 | 08.07.2016 | 31.03.2024 | - | 20.03.1964 | 01 | 00 | 02 | 00 |
| Mr. | R. M. Bhadang | ACLPB9566H & 09050270 | Executive | 28.01.2021 | - | 27.01.2026 | - | 01.06.1966 | 01 | 00 | 00 | 00 |
| Mr. | Santosh Kumar Jha | ABIPJ5214E & 07738247 | Executive | 11.11.2021 | - | 10.11.2026 | - | 26.03.1967 | 01 | 00 | 01 | 00 |
| Mr. | R. K. Hegde | AAPPH5510D & 10148836 | Executive | 01.05.2023 | - | 31.05.2025 | - | 20.05.1965 | 01 | 00 | 00 | 00 |
| Mr | Ajeet Kumar Srivastava | APVPS7811M & 08187918 | Non-Executive / Nominee | 21.07.2022 | - | N/A | - | 03.12.1973 | 01 | 00 | 01 | 01 |

| | | | | | | | | | | | | |
|-----|-----------------------|--|-------------------------|------------|---|------------|---------|------------|----|----|----|---|
| Mr. | Priya Ranjan Parhi | ADCPP9502F & 09499859 | Non-Executive / Nominee | 21.07.2022 | - | N/A | - | 14.10.1968 | 01 | 00 | 00 | 00 |
| Mr. | Parrag Jaiin Nainutia | ABFPJ2540J & 00295846 | Non-Executive / Nominee | 14.03.2023 | - | N/A | - | 14.12.1972 | 01 | 00 | 01 | 00 |
| Mr. | Gaurav Gupta | ADNPG6593N & 02184763 | Non-Executive / Nominee | 04.06.2022 | - | N/A | - | 27.07.1967 | 02 | 00 | 01 | 00 |
| Mr. | Subhash Chandra | AACPC6664J & 09808399 | Non-Executive / Nominee | 11.10.2022 | - | N/A | - | 15.09.1964 | 01 | 00 | 01 | 00 |
| Mr. | Biju Prahakar | AERPP8894E & 03093072 | Non-Executive / Nominee | 01.11.2022 | - | N/A | - | 20.04.1965 | 01 | 00 | 01 | 00 |
| Mr. | Sanmoy Banerjee | ACZPB4919N & 09440533 | Independent | 09.11.2021 | - | 08.11.2024 | 3 years | 14.12.1961 | 01 | 01 | 02 | 01 |
| | | Whether Regular chairperson appointed | | | | | | | | | | Yes |
| | | Whether Chairperson is related to managing director or CEO | | | | | | | | | | Chairpers on and Managing Director is same. |

| II. Composition of Committees | | | | | |
|--|---------------------------------------|--|--------------------------------|---------------------|-------------------|
| Name of Committee | Whether Regular chairperson appointed | Name of Committee members | Category | Date of Appointment | Date of Cessation |
| 1. Audit Committee | Yes | Mr. Sanmoy Banerjee | Chairman -Independent Director | 09.11.2021 | 08.11.2024 |
| | | Mr. Sanjay Gupta | Executive Director | 30.09.2013 | 31.03.2024 |
| | | Mr. Santosh Kumar Jha | Executive Director | 11.11.2021 | 10.11.2026 |
| 2. Nomination & Remuneration Committee (NRC) | - | NRC is not constituted due to non-availability of sufficient number of Independent Directors on the Board of the Company. Accordingly, the items to be brought before NRC are taken before BoD for approval. | | | |
| 3. Risk Management Committee | Yes | Mr. Sanjay Gupta | Chairman-Executive Director | 30.09.2013 | 31.03.2024 |

| | | | | | |
|---|---|-----------------------------------|---|---|---|
| | | Mr. R. M. Bhadang | Executive Director | 28.01.2021 | 27.01.2026 |
| | | Mr. Santosh Kumar Jha | Executive Director | 11.11.2021 | 10.11.2026 |
| | | Mr. Sanmoy Banerjee | Independent Director | 09.11.2021 | 08.11.2024 |
| 4. Stakeholders Relationship Committee | Yes | Mr. Ajeet Kumar Srivastava | Chairman-Non-Executive / Nominee Director | 21.07.2022 | - |
| | | Mr. Parrag Jain Nainutia | Non-Executive / Nominee Director | 14.03.2023 | - |
| | | Mr. Gaurav Gupta | Non-Executive / Nominee Director | 04.06.2022 | - |
| | | Mr. Subhash Chandra | Non-Executive / Nominee Director | 11.10.2022 | - |
| | | Mr. Biju Prabhakar | Non-Executive / Nominee Director | 01.11.2022 | - |
| | | Mr. Sanjay Gupta | Executive Director | 30.09.2013 | 31.03.2024 |
| | | Mr. Sanmoy Banerjee | Independent Director | 09.11.2021 | 08.11.2024 |
| III. Meeting of Board of Directors | | | | | |
| Date(s) of Meeting (if any) in the previous quarter | Date(s) of Meeting (if any) in the relevant quarter | Whether requirement of Quorum met | Number of Directors present | Number of independent directors present | Maximum gap between any two consecutive meeting in number of days |
| 14.02.2023 & 16.03.2023 | 25.05.2023 | Yes | 05 | 01 | 70 |
| IV. Meetings of Committees | | | | | |
| 1. Audit Committee | | | | | |
| Date(s) of meeting of the committee in the relevant quarter | Whether requirement of Quorum met | Number of Directors present | Number of independent directors present | Date(s) of Meeting of the Committee in the previous quarter | Maximum gap between any two consecutive meeting in number of days |
| 25.05.2023 | Yes | 3 | 1 | 14.02.2023 | 100 |
| 2. Nomination & Remuneration Committee | | | | | |
| Date(s) of meeting of the committee in the relevant quarter | Whether requirement of Quorum met | Number of Directors present | Number of independent directors present | Date(s) of Meeting of the Committee in the previous quarter | Maximum gap between any two consecutive meeting in number of days |
| - | - | - | - | - | - |
| 3. Risk Management Committee | | | | | |
| Date(s) of Meeting (if any) in the relevant quarter | Whether requirement of Quorum met | Number of Directors present | Number of independent directors present | Date(s) of Meeting of the Committee in the previous quarter | Maximum gap between any two consecutive meeting in number of days |
| - | - | - | - | 14.02.2023 | - |

| 4. Stakeholders Relationship Committee | | | | | |
|--|-----------------------------------|--|---|---|---|
| Date(s) of Meeting (if any) in the relevant quarter | Whether requirement of Quorum met | Number of Directors present | Number of independent directors present | Date(s) of Meeting of the Committee in the previous quarter | Maximum gap between any two consecutive meeting in number of days |
| - | - | - | - | 28.02.2023 | - |
| V. Related Party Transactions | | | | | |
| Subject | | | Compliance status (Yes/No/NA) | | |
| Whether prior approval of audit committee obtained | | | Yes | | |
| Whether shareholder approval obtained for material RPT | | | No (No transaction during the quarter) | | |
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee | | | No (No transaction during the quarter) | | |
| VI. Affirmations | | | | | |
| <p>1. The composition of Board of Directors is not in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.</p> <p>2. The composition of the following committees is not in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.</p> <p>a. Audit Committee</p> <p>b. Nomination & Remuneration Committee</p> <p>3. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.</p> <p>c. Stakeholders Relationship Committee</p> <p>d. Risk management committee</p> <p>4. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.</p> <p>5. The meetings of the Board of Directors and the above Committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.</p> <p>6. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the Board of Directors may be mentioned here. (No comments).</p> | | | | | |
| RAJENDRA CHANDRAKANT PARAB | | Digitally signed by RAJENDRA CHANDRAKANT PARAB Date: 2023.07.05 16:43:38 +05'30' | | | |
| Rajendra C Parab Company Secretary & Compliance Officer Date: 05 th July, 2023 | | | | | |