



कोकण रेलवे कॉर्पोरेशन लिमिटेड Konkan Railway Corporation Limited

(भारत सरकार का उपक्रम) (A Government of India Undertaking)

www.konkanrailway.com

संख्या : के.आर./सी.ओ./एस/बॉण्ड्स/एनएसई

दिनांक: 18/10/2021

सेवा में,

The Manager (Compliance Section),
National Stock Exchange of India Ltd.,
Regd. Office: Exchange Plaza
Bandra Kurla Complex,
Mumbai – 400 051.

Sub: Quarterly Compliance Report on Corporate Governance under Regulation 27 (2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended 30.09.2021

महोदय,

Please find enclosed herewith the Quarterly Compliance Report on Corporate Governance under Regulation 27 (2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended 30.09.2021.

सादर,

भवदीय

कृते कोकण रेलवे कॉर्पोरेशन लिमिटेड

(राजेंद्र सी परब)

कंपनी सचिव

Annexure: As stated



पंजीकृत कार्यालय: बेलापुर भवन, सेक्टर -11, सी.बी.डी. बेलापुर, नवी मुंबई - 400614

Regd. Office: Belapur Bhavan, Sector 11, CBD Belapur, Navi Mumbai 400614 Tel: 91-22-27572015-18; Fax:27572420

ई-मेल (E-mail) : general@krcl.co.in, वेबसाइट (Website): www.konkanrailway.com

कॉर्पोरेट पहचान संख्या (Corporate Identity Number) : U35201MH1990GOI223738

LEI No. 335800CK2UZ7PG7WLL79

Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis
(As per format of Annex-I prescribed vide SEBI Circular No. SEBI/HO/CFD/CMD-2/P/CIR/2021/567 May 31, 2021)

1. Name of Listed Entity: Konkan Railway Corporation Limited
2. Quarter ending: 30th September, 2021

I. Composition of Board of Directors												
Title (Mr / Ms)	Name of the Director	PAN & DIN	Category (Chairperson /Executive/ Non-Executive/ independent / Nominee)	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure	Date of Birth	No. Of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairpersons in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Sanjay Gupta	AACPG8706D & 06710604	Chairperson /Executive	30.09.2013	08.07.2016	31.03.2024	-	20.03.1964	01	00	02	00
Mr.	Subhash Chand Gupta	ABOPG3112E & 08339258	Executive	15.01.2019	-	14.01.2024	-	06.01.1965	01	00	00	00
Mr.	R. M. Bhadang	ACLPB9566H & 09050270	Executive	28.01.2021	-	27.01.2026	-	01.06.1966	01	00	00	00
Mrs.	Anju Ranjan	ADTPR9953R & 06681154	Non-Executive / Nominee	21.06.2018	-	N/A	-	20.03.1966	01	00	00	00
Mr.	Vinay Srivastava	AFIPS1696D & 08638850	Non-Executive / Nominee	10.12.2019	-	N/A	-	19.05.1971	02	00	00	00
Mr.	Ashish Kumar Singh	AFPPS7528K & 02751191	Non-Executive / Nominee	06.08.2018	-	N/A	-	31.12.1963	01	00	00	00

Mr.	Kapil Mohan	ADCPM7731R & 03627128	Non-Executive / Nominee	05.08.2019	-	N/A	-	17.09.1963	01	00	00	00
Mr.	K.R. Jyothilal	ACJPR2564H & 01650017	Non-Executive / Nominee	26.07.2018	-	N/A	-	31.05.1969	01	00	00	00
Mr.	Tariq Thomas	AFOPT6931L & 07569786	Non-Executive / Nominee	19.04.2021	-	N/A	-	12.07.1984	01	00	00	00
Mr.	Air Marshal Sukhchain Singh, AVSM VSM (Retd.)	AHRPS5369L & 06920513	Independent	11.07.2019	-	10.07.2022	3 Years	01.11.1955	01	00	00	00
Mr.	Mosali Devaraja Reddy	ADMPPM7213Q & 07112941	Independent	11.07.2019	-	10.07.2022	3 Years	01.08.1961	01	00	00	00
Mr.	Prof. Dr. R. Srinivasan	AQCPS9050L & 08546633	Independent	11.07.2019	-	10.07.2022	3 Years	05.05.1964	01	00	00	00
	Whether Regular chairperson appointed											Yes
	Whether Chairperson is related to managing director or CEO											Chairpers on and Managing Director is same.

II. Composition of Committees					
Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) \$	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	CA M. Devaraja Reddy	Chairperson -Independent Director	11.07.2019	10.07.2022
		Shri Sanjay Gupta	Executive Director	30.09.2013	31.03.2024
		Prof. Dr. R. Srinivasan	Independent Director	11.07.2019	10.07.2022
2. Nomination & Remuneration Committee	Yes	Air Marshal Sukhchain Singh, AVSM VSM (Retd.)	Chairperson - Independent Director	11.07.2019	10.07.2022
		Mrs. Anju Ranjan	Non-Executive / Nominee Director	21.06.2018	-



		CA M. Devaraja Reddy	Independent Director	11.07.2019	10.07.2022
		Prof. Dr. R. Srinivasan	Independent Director	11.07.2019	10.07.2022
		Shri Sanjay Gupta	Executive Director	30.09.2013	31.03.2024
3. Risk Management Committee	Yes	Shri Sanjay Gupta	Chairperson-Executive Director	30.09.2013	31.03.2024
		Shri Subhash Chand Gupta	Executive Director	15.01.2019	14.01.2024
		Shri R. M. Bhadang	Executive Director	28.01.2021	27.01.2026
		Air Marshal Sukhchain Singh, AVSM VSM (Retd.)	Independent Director	11.07.2019	10.07.2022
4. Shareholders' Committee (Stakeholders Relationship Committee)	Yes	Mrs. Anju Ranjan	Chairperson-Non-Executive / Nominee Director	21.06.2018	-
		Mr. Ashish Kumar Singh	Non-Executive / Nominee Director	06.08.2018	-
		Mr. Kapil Mohan	Non-Executive / Nominee Director	05.08.2019	-
		Mr. K.R. Jyothilal	Non-Executive / Nominee Director	26.07.2018	-
		Dr. Tariq Thomas	Non-Executive / Nominee Director	19.04.2021	-
		Shri Sanjay Gupta	Executive Director	30.09.2013	31.03.2024
III. Meeting of Board of Directors					
<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Whether requirement of Quorum met*</i>	Number of Directors present*	Number of independent directors present*	<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
27.09.2021	Yes	9	3	28.06.2021	90
IV. Meetings of Committees					
1. Audit Committee					
28.06.2021	27.09.2021	Yes	3	2	90
2. Nomination & Remuneration Committee					
<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Whether requirement of Quorum met*</i>	Number of Directors present*	Number of independent directors present*	<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
-	-	-	-	28.06.2021	-
3. Risk Management Committee					
<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Whether requirement of Quorum met*</i>	Number of Directors present*	Number of independent directors present*	<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
-	-	-	-	-	-
4. Shareholders' Committee (Stakeholders Relationship Committee)					



Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Date(s) of Meeting (if any) in the previous quarter	Maximum gap between any two consecutive (in number of days)
03.09.2021	Yes	03	00	28.06.2021	66

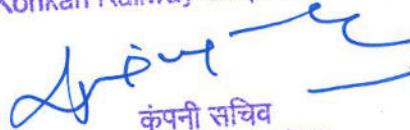
V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	

VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.
- The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
 - Audit Committee
 - Nomination & Remuneration Committee
 - Stakeholders Relationship Committee
 - Risk management committee (as applicable)
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here.

कोंकण रेलवे कॉर्पोरेशन लिमिटेड -
Konkan Railway Corporation Ltd.



Rajendra C Parab
Company Secretary & Compliance Officer
Date: 18th October, 2021

