



कोकण रेलवे कॉर्पोरेशन लिमिटेड Konkan Railway Corporation Limited

(भारत सरकार का उपक्रम) (A Government of India Undertaking)

www.konkanrailway.com

संख्या : के.आर./सी.ओ./एस/बॉण्ड्स/एनएसई

दिनांक:05/04/2022

सेवा में,
The Manager (Compliance Section),
National Stock Exchange of India Ltd.,
Regd. Office: Exchange Plaza
Bandra Kurla Complex,
Mumbai – 400 051.

Sub: Quarterly Compliance Report on Corporate Governance under Regulation 27 (2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended on 31.03.2022

महोदय,

Please find enclosed herewith the Quarterly Compliance Report on Corporate Governance under Regulation 27 (2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended on 31.03.2022.

सादर,

भवदीय

कृते कोकण रेलवे कॉर्पोरेशन लिमिटेड



(राजेंद्र सी परब)

कंपनी सचिव

Annexure: As stated

पंजीकृत कार्यालय: बेलापुर भवन, सेक्टर -11, सी.बी.डी. बेलापुर, नवी मुंबई - 400614

Regd. Office: Belapur Bhavan, Sector 11, CBD Belapur, Navi Mumbai 400614 Tel: 91-22-27572015-18; Fax:27572420

ई-मेल (E-mail) : general@krcl.co.in, वेबसाइट (Website): www.konkanrailway.com

कॉर्पोरेट पहचान संख्या (Corporate Identity Number) : U35201MH1990GOI223738

LEI No. 335800CK2UZ7PG7WLL79

Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

(As per format of Annex-I prescribed vide SEBI Circular No. SEBI/HO/CFD/CMD-2/P/CIR/2021/567 May 31, 2021)

1. Name of Listed Entity: **Konkan Railway Corporation Limited**
2. Quarter ending: **31st March, 2022**

| I. Composition of Board of Directors | | | | | | | | | | | | |
|--------------------------------------|----------------------|-----------------------|---|-----------------------------|------------------------|-------------------|--------|---------------|---|---|---|--|
| Title (Mr / Ms) | Name of the Director | PAN & DIN | Category (Chairperson /Executive/ Non-Executive/ independent / Nominee) | Initial Date of Appointment | Date of Re-appointment | Date of Cessation | Tenure | Date of Birth | No. Of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)] | No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)] | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairpersons on in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) |
| Mr. | Sanjay Gupta | AACPG8706D & 06710604 | Chairperson /Executive | 30.09.2013 | 08.07.2016 | 31.03.2024 | - | 20.03.1964 | 01 | 00 | 02 | 00 |
| Mr. | Subhash Chand Gupta | ABOPG3112E & 08339258 | Executive | 15.01.2019 | - | 14.01.2024 | - | 06.01.1965 | 01 | 00 | 00 | 00 |
| Mr. | R. M. Bhadang | ACLPB9566H & 09050270 | Executive | 28.01.2021 | - | 27.01.2026 | - | 01.06.1966 | 01 | 00 | 00 | 00 |
| Mr. | Santosh Kumar Jha | ABIPJ5214E & 07738247 | Executive | 11.11.2021 | - | 10.11.2026 | - | 26.03.1967 | 01 | 00 | 00 | 00 |
| Mrs. | Anju Ranjan | ADTPR9953R & 06681154 | Non-Executive / Nominee | 21.06.2018 | - | N/A | - | 20.03.1966 | 01 | 00 | 00 | 00 |



| | | | | | | | | | | | | |
|-----|---|--|-------------------------|------------|---|------------|---------|------------|----|----|----|---|
| Mr. | Abhijit Narendra | ABFPN2809N & 07851224 | Non-Executive / Nominee | 13.12.2021 | - | N/A | - | 14.09.1966 | 01 | 00 | 00 | 00 |
| Mr. | Ashish Kumar Singh | AFPPS7528K & 02751191 | Non-Executive / Nominee | 06.08.2018 | - | N/A | - | 31.12.1963 | 01 | 00 | 00 | 00 |
| Mr. | Kapil Mohan | ADCPM7731R & 03627128 | Non-Executive / Nominee | 05.08.2019 | - | N/A | - | 17.09.1963 | 01 | 00 | 00 | 00 |
| Mr. | K.R. Jyothilal | ACJPR2564H & 01650017 | Non-Executive / Nominee | 26.07.2018 | - | N/A | - | 31.05.1969 | 01 | 00 | 00 | 00 |
| Mr. | Tariq Thomas | AFOPT6931L & 07569786 | Non-Executive / Nominee | 19.04.2021 | - | N/A | - | 12.07.1984 | 01 | 00 | 00 | 00 |
| Mr. | Air Marshal Sukhchain Singh, AVSM VSM (Retd.) | AHRPS5369L & 06920513 | Independent | 11.07.2019 | - | 10.07.2022 | 3 Years | 01.11.1955 | 01 | 00 | 00 | 00 |
| Mr. | Mosali Devaraja Reddy | ADMMP7213Q & 07112941 | Independent | 11.07.2019 | - | 10.07.2022 | 3 Years | 01.08.1961 | 01 | 00 | 00 | 00 |
| Mr. | Prof. Dr. R. Srinivasan | AQCPS9050L & 08546633 | Independent | 11.07.2019 | - | 10.07.2022 | 3 Years | 05.05.1964 | 01 | 00 | 00 | 00 |
| Mr. | Sanmoy Banerjee | ACZPB4919N & 09440533 | Independent | 09.11.2021 | - | 08.11.2024 | 3 years | 14.12.1961 | 01 | 00 | 00 | 00 |
| | | Whether Regular chairperson appointed | | | | | | | | | | Yes |
| | | Whether Chairperson is related to managing director or CEO | | | | | | | | | | Chairpers on and Managing Director is same. |



| II. Composition of Committees | | | | | |
|---|---------------------------------------|---|---|---|---|
| Name of Committee | Whether Regular chairperson appointed | Name of Committee members | Category (Chairperson/Executive/Non-Executive/independent/Nominee) \$ | Date of Appointment | Date of Cessation |
| 1. Audit Committee | Yes | CA M. Devaraja Reddy | Chairman -Independent Director | 11.07.2019 | 10.07.2022 |
| | | Mr. Sanjay Gupta | Executive Director | 30.09.2013 | 31.03.2024 |
| | | Prof. Dr. R. Srinivasan | Independent Director | 11.07.2019 | 10.07.2022 |
| 2. Nomination & Remuneration Committee | Yes | Air Marshal Sukhchain Singh, AVSM VSM (Retd.) | Chairman - Independent Director | 11.07.2019 | 10.07.2022 |
| | | Mrs. Anju Ranjan | Non-Executive / Nominee Director | 21.06.2018 | - |
| | | CA M. Devaraja Reddy | Independent Director | 11.07.2019 | 10.07.2022 |
| | | Prof. Dr. R. Srinivasan | Independent Director | 11.07.2019 | 10.07.2022 |
| | | Mr. Sanjay Gupta | Executive Director | 30.09.2013 | 31.03.2024 |
| 3. Risk Management Committee | Yes | Mr. Sanjay Gupta | Chairman-Executive Director | 30.09.2013 | 31.03.2024 |
| | | Mr. Subhash Chand Gupta | Executive Director | 15.01.2019 | 14.01.2024 |
| | | Mr. R. M. Bhadang | Executive Director | 28.01.2021 | 27.01.2026 |
| | | Air Marshal Sukhchain Singh, AVSM VSM (Retd.) | Independent Director | 11.07.2019 | 10.07.2022 |
| | | Mr. Abhijit Narendra | Chairman-Non-Executive / Nominee Director | 21.06.2018 | - |
| 4. Stakeholders Relationship Committee | Yes | Mr. Ashish Kumar Singh | Non-Executive / Nominee Director | 06.08.2018 | - |
| | | Mr. Kapil Mohan | Non-Executive / Nominee Director | 05.08.2019 | - |
| | | Mr. K.R. Jyothilal | Non-Executive / Nominee Director | 26.07.2018 | - |
| | | Dr. Tariq Thomas | Non-Executive / Nominee Director | 19.04.2021 | - |
| | | Mr. Sanjay Gupta | Executive Director | 30.09.2013 | 31.03.2024 |
| | | Mr. Sanmoy Banerjee | Independent Director | 09.11.2021 | 08.11.2024 |
| III. Meeting of Board of Directors | | | | | |
| Date(s) of Meeting (if any) in the relevant quarter | Whether requirement of Quorum met* | Number of Directors present* | Number of independent directors present* | Date(s) of Meeting (if any) in the previous quarter | Maximum gap between any two consecutive (in number of days) |
| 10.02.2022 | Yes | 8 | 2 | 13.11.2021 | 88 |
| IV. Meetings of Committees | | | | | |
| 1. Audit Committee | | | | | |
| 10.02.2022 | Yes | 2 | 1 | 13.11.2021 | 88 |
| 2. Nomination & Remuneration Committee | | | | | |



| <i>Date(s) of Meeting (if any) in the relevant quarter</i> | <i>Whether requirement of Quorum met*</i> | <i>Number of Directors present*</i> | <i>Number of independent directors present*</i> | <i>Date(s) of Meeting (if any) in the previous quarter</i> | <i>Maximum gap between any two consecutive (in number of days)</i> |
|--|---|-------------------------------------|---|--|--|
| - | - | - | - | - | - |

3. Risk Management Committee

| <i>Date(s) of Meeting (if any) in the relevant quarter</i> | <i>Whether requirement of Quorum met*</i> | <i>Number of Directors present*</i> | <i>Number of independent directors present*</i> | <i>Date(s) of Meeting (if any) in the previous quarter</i> | <i>Maximum gap between any two consecutive (in number of days)</i> |
|--|---|-------------------------------------|---|--|--|
| 28.03.2022 | Yes | 4 | 1 | - | - |

4. Stakeholders Relationship Committee

| <i>Date(s) of Meeting (if any) in the relevant quarter</i> | <i>Whether requirement of Quorum met*</i> | <i>Number of Directors present*</i> | <i>Number of independent directors present*</i> | <i>Date(s) of Meeting (if any) in the previous quarter</i> | <i>Maximum gap between any two consecutive (in number of days)</i> |
|--|---|-------------------------------------|---|--|--|
| - | - | - | - | 01.12.2021 | - |

V. Related Party Transactions

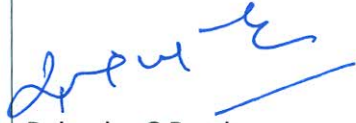
| <i>Subject</i> | <i>Compliance status (Yes/No/NA)</i> |
|--|--------------------------------------|
| Whether prior approval of audit committee obtained | NA |
| Whether shareholder approval obtained for material RPT | |
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee | |

VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.
- The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
 - Audit Committee
 - Nomination & Remuneration Committee
 - Stakeholders Relationship Committee
 - Risk management committee
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.



4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015:
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here.



Rajendra C Parab
Company Secretary & Compliance Officer
Date: 05th April, 2022

