



# कोंकण रेलवे कॉर्पोरेशन लिमिटेड Konkan Railway Corporation Limited

(भारत सरकार का उपक्रम) (A Government of India Undertaking)

कॉर्पोरेट पहचान संख्या (Corporate identity number): U35201MH1990GOI223738

[www.konkanrailway.com](http://www.konkanrailway.com)

केआर/सीओ/एस/बांड/एनएसई

दिनांक: 27/04/2022

सेवा में,

प्रबंधक (अनुपालन अनुभाग),  
नेशनल स्टॉक एक्सचेंज इंडिया लिमिटेड,  
पंजीकृत कार्यालय: एक्सचेंज प्लाजा,  
बांद्रा कुर्ला कॉम्प्लेक्स, मुंबई - 400 051

विषय: 31 मार्च, 2022 को समाप्त वित्तीय वर्ष के लिए सेबी (एलओडीआर) विनियम, 2015 के विनियम 24ए (2) के तहत सचिवीय अनुपालन रिपोर्ट

संदर्भ: आईएसआईएन-आईएनई139एफ07048, आईएनई139एफ07055, आईएनई139एफ07063,  
आईएनई139एफ07071, आईएनई139एफ07089, आईएनई139एफ07097, आईएनई139एफ0  
7105, आईएनई139एफ07113

महोदय,

हम इसके साथ 31 मार्च, 2022 को समाप्त वित्तीय वर्ष के लिए मैसर्स रूपाली अभ्यंकर एंड कंपनी,  
प्रैक्टिसिंग कंपनी सेक्रेटरीज, मुंबई द्वारा जारी सचिवीय अनुपालन रिपोर्ट संलग्न कर रहे हैं।

कृपया इसे अपने रिकॉर्ड में लेने का अनुरोध किया जाता है।

धन्यवाद

भवदीय  
कृते कोंकण रेलवे कॉर्पोरेशन लिमिटेड

हस्ताक्षर

(राजेंद्र सी परब)  
कंपनी सचिव

संलग्न : यथोपरि

पंजीकृतकार्यालय: बेलापुरभवन, सेक्टर -11, सी.बी.डी. बेलापुर, नवीमुंबई - 400614

Regd Office: Belapur Bhavan, Sector 11, CBD Belapur, Navi Mumbai 400614 Tel: 91-22-27572015-18; Fax: 27572420

ई-मेल (E-mail) : [general@krcl.co.in](mailto:general@krcl.co.in), वेबसाइट (Website): [www.konkanrailway.com](http://www.konkanrailway.com)

LEI No. 335800CK2UZ7PG7WLL79



## **Rupali Abhyankar & Co.**

### **Company Secretaries**

Office No 1&2 Neel Sidhi Splendour, A wing, Sector 15, CBD Belapur, Navi Mumbai  
Email Id- [rupaliabhyankar@yahoo.in](mailto:rupaliabhyankar@yahoo.in), Contact No-9324686222

### **SECRETARIAL COMPLIANCE REPORT OF KONKAN RAILWAY CORPORATION LIMITED FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022.**

I, Rupali Abhyankar have examined:

- (a) all the documents and records made available to us and explanation provided by **Konkan Railway Corporation Limited** (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2022 (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;



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(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;

(g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

(i) (other regulations as applicable) and circulars/ guidelines issued thereunder;

(Note: The aforesaid list of Regulations is only illustrative. The list of all SEBI Regulations, as may be relevant and applicable to the listed entity for the review period, shall be added.)

and based on the above examination, I/We hereby report that, during the Review Period:



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(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
NIL			

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any
NA				



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(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NA				

UDIN: F009230D000252319

Place: Navi Mumbai

Date: 30/04/2022

CS Rupali Abhyankar  
FCS No.: 9230  
C P No.: 10509

