



KONKAN RAILWAY CORPORATION LIMITED
(A GOVERNMENT OF INDIA UNDERTAKING)
CIN: U35201MH1990GOI223738

**REGISTERED OFFICE: BELAPUR BHAVAN, SECTOR 11, PLOT NO. 6, CBD
BELAPUR, NAVI MUMBAI – 400614, MAHARASHTRA**

**PROCEDURE FOR CLAIMING UNPAID / UNCLAIMED AMOUNTS OF
INTEREST/REDEMPTION AMOUNT OF KR BONDS OF VARIOUS SERIES
FROM IEPF AUTHORITY**

In terms of Section 125 of the Companies Act, 2013 and rule 7 of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 & Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Second Amendment Rules, 2019 following procedure is prescribed:

(1) Check for your unclaimed/unpaid Interest/Redemption cum Interest amount at IEPF website @ www.iepf.gov.in by clicking at “search unclaimed/unpaid amount”. Also, the unclaimed/unpaid Interest/Redemption amount can be checked at www.konkanrailway.com/department/viewdept/bonds.

(2) The Procedure for claiming refund from IEPF Authority is provided at www.iepf.gov.in/IEPF/refund.html and Registration for filing new Web Form IEPF-5 made effective from 20/09/2019 is available at www.iepf.gov.in/IEPF/Corporates.html.

(3) After filing new web Form IEPF-5, the Bondholder is required to send all the documents as mentioned in the new Web Form IEPF-5 along with all the documents including original Bond Certificates mentioned thereunder to Company Secretary, Konkan Railway Corporation Ltd., Belapur Bhavan, Sector-11, Plot No.6, CBD Belapur,

Navi Mumbai – 400 614, Maharashtra at the earliest, so that e-Verification Report can be submitted by the Company to IEPF Authority within 30 days of filing the new Web Form IEPF-5. In case, Company does not receive all the required original documents in time, it shall file the e-Verification Report within 30 days of filing of new Web Form IEPF-5, recommending rejection of the claim for non-receipt of the required documents.

(4) An application received for refund of any claim under this rule duly verified by the Company shall be disposed of by the Authority within 60 days from the date of receipt of the e-verification report from the Company, complete in all respects and any delay beyond 60 days shall be recorded in writing specifying the reasons for the delay and the same shall be communicated to the claimant (Bondholder) in writing by electronic means.

(5) In case of any error in the new Web Form IEPF-5, IEPF Authority provides one more chance for rectification of error. A 15 days' time period is allowed for rectification and resubmission of the revised new web Form IEPF-5 to IEPF Authority.

(6) In case, claimant (Bondholder(s)) is a legal heir or successor or administrator or nominee of Bondholder, he/she has to ensure that the transmission process is completed by the Company before filing the new Web Form IEPF-5 with the IEPF Authority. The transmission process shall be done by the Company as prescribed under Section 56 of Companies Act, 2013 and rules made there under, read with Schedule II of IEPF Authority (Accounting, Audit, Transfer and Refund) Second Amendment Rules, 2019. The procedure is under:

Applicant is required submit the following documents to the Company:

- (a) Duly signed transmission request application
- (b) Copy of death certificate(s) of all the joint holder(s) duly attested by Gazetted Officer nominated by Central Government
- (c) Self-attested copy of PAN card of the applicant (legal heir/successor).
- (d) Original Bond certificate(s).
- (e) Any other Government ID proof of the applicant like Aadhar Card and Passport.
- (f) Affidavit from all the legal heirs or successor(s) made on appropriate non-judicial stamp paper- to the effect of identification and claim of legal ownership to the Bonds, provided that in case the legal heir(s) or claimant(s) is named in the succession certificate or probate of will or Letter of Administration, an Affidavit from such legal heir(s) or claimant(s) alone would be sufficient.

On receipt of the above documents, process for issue of new Bond Certificate, shall be initiated by the Company.

(7) In case of loss of Original Bond Certificate(s), Duplicate Bond Certificate(s) may be obtained from the Company by following the procedure as per Section 46 of Companies Act, 2013 and rules made there under read with Schedule III of IEPF Authority (Accounting, Audit, Transfer and Refund) Second Amendment Rules, 2019, before filing the new Web Form IEPF-5. The procedure is under:

(a) Following documents are required to be submitted to the Company:

- (i) Original copy of FIR/ Police Complaint containing information of security holder, holding details, folio number and distinctive numbers of Bond Certificate.

(ii) Duly signed Indemnity Bond executed on a Non-Judicial Stamp Paper of ₹ 500/- witnessed by two Persons.

(iii) An Affidavit on a Non-Judicial Stamp Paper of ₹ 100/- duly verified in the presence of First-Class Magistrate or a Notary Public.

(iv) Copy of advertisement about loss of Bond Certificate(s) issued in a newspaper circulating in the place of Registered Office of the Company, if the value of the Bonds is greater than ₹ 10,000.

(b) On receipt of the above documents, process for issue of Duplicate Bond Certificate, shall be initiated by the Company.

(8) The claimant (Bondholder) shall file only one consolidated claim in respect of Konkan Railway Corporation Ltd. in one financial year.

(9) Web addresses of some important Rules / FAQs are as under: -

i. Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 dated 05.09.2016

www.iepf.gov.in/IEPF/pdf/Rules_06092016.pdf

ii. Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Second Amendment Rules, 2019

www.iepf.gov.in/IEPF/pdf/IEPFRules_19082019.pdf

iii. FAQs claim procedure from IEPF Authority

www.iepf.gov.in/IEPF/pdf/FAQsIEPF5.pdf

(10) Contact details of Konkan Railway Corporation Limited Official are as under:

Shri D. D. Karande,
OA/Bonds/Tech

Email: - oa.dattaram@krcl.co.in

Mobile: -9967322392

Landline: 022-27587246
